

Assistant Commissioner for Patents
Washington, D.C. 20231
Sir:

ATTORNEY DOCKET: YOR9-2000-0606US1 (8728-422)
Date: November 30, 2000
Express Mail Label: EL599449895US
Date of Deposit: November 30, 2000

Transmitted herewith for filing is the Patent Application of:

Inventors: Thomas D. Erickson, Peter K. Malkin

For: METHOD AND SYSTEM FOR MARKETPLACE SOCIAL PROXIES

Enclosed are: [X] 19 sheets of specification; [X] 1 sheet(s) of Abstract; [X] 5 sheet(s) of claims; [X] 4 sheet(s) of drawing(s);

[] An assignment of the invention to International Business Machines Corporation with Recordation Form.

[] Declaration and Power of Attorney.

[] A certified copy of a _____ application, from which priority under Title 35 USC §119 is claimed.

[] Associate Power of Attorney.

The filing fee has been calculated as shown below:

(Col. 1) (Col. 2)

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	24 -20 =	4
INDEP CLAIMS	3 -3 =	0
MULTIPLE DEPENDENT CLAIMS PRESENTED		

OTHER THAN A
SMALL ENTITY

RATE	FEE
	\$710.00
X \$18 =	72.00
X \$80 =	0
+ 270 =	
TOTAL	\$ 782.00

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

[] A check in the amount of \$_____ to cover the [] filing fee(s), [] recording fee is enclosed.

[X] Please charge my Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$782.00.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights). A duplicate copy of this sheet is enclosed.

[X] Any additional filing fees required under 37 CFR 1.16.

[X] Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By:

Frank Chau
Registration No. 34,136

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correspondence to:

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Attorney for:

IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598

CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this Application transmittal and documents referred to as enclosed are being deposited with the United States Postal Service on this date November 30, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL599449895US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Frank Chau

jc886 U.S. PTO
09/727079
11/30/00

11/30/00

JC972 U.S. PTO



09/27/00 11:30:00

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 782.00

Complete if Known

Application Number	
Filing Date	November 30, 2000
First Named Inventor	Thomas D. Erickson
Examiner Name	
Group Art Unit	
Attorney Docket No.	YOR9-2000-0606US1 (8728-422)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0510/IBM
Deposit Account Name IBM/Yorktown Heights

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
		101	710	201 355 Utility filing fee	710
		106	320	206 160 Design filing fee	
		107	490	207 245 Plant filing fee	
		108	710	208 355 Reissue filing fee	
		114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$ 710.00

2. EXTRA CLAIM FEES

Total Claims 24 -20** = 4 x 18 = 72
Independent Claims 3 -3** = 0 x 80 = 0
Multiple Dependent 270 =

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
		103	18	203 9 Claims in excess of 20
		102	80	202 40 Independent claims in excess of 3
		104	270	204 135 Multiple dependent claim, if not paid
		109	80	209 40 ** Reissue independent claims over original patent
		110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 72.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

SUBMITTED BY

Name (Print/Type)	Frank Chau	Registration No. (Attorney/Agent)	34,136	Complete (if applicable)
Signature		Telephone	(516) 357-0091	Date
				11/30/00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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09/27/00
11/30/00